

Fano fourfolds with large anticanonical base locus

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UNIVERSITÀ DEGLI STUDI DI MILANO

Introduction

Joint work with Andreas Höring

X Fano manifold \rightsquigarrow X smooth proj. variety (over \mathbb{C}) s.t. $-K_X$ is ample

Classification completed up to dimension 3:

- **dim = 1** : \mathbb{P}^1
- **dim = 2** : del Pezzo surfaces, 10 families
- **dim = 3** : 105 families
- **dim ≥ 4** : Partial results
- Buondedness \rightsquigarrow finite number of families for each fixed dimension

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• Mori-Mukai, $\rho_X \geq 2$
• Iskovskih, $\rho_X = 1$
- **dim ≥ 4** : Partial results
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Let X be a Fano manifold:

$-K_X$ is ample $\rightsquigarrow -mK_X$ is very ample for $m \gg 0$, so

$$\begin{cases} \dim H^0(X, -mK_X) > 0 \\ -mK_X \text{ is globally generated, i.e. } \text{Bs} | -mK_X | = \emptyset \end{cases}$$

Question: does the same hold for $-K_X$?

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Q1: is $H^0(X, -K_X) \neq 0$?

Ambro-Kawamata conjecture (smooth case)

Let X be a smooth proj. var. and let A an ample Cartier divisor. If $A - K_X$ is ample, then $H^0(X, A) \neq 0$.

X is smooth Fano and $\dim(X) \leq 4 \implies \dim H^0(X, -K_X) \geq 2$.
(Riemann-Roch computation)

Q2: is $-K_X$ always globally generated?

No! There are examples where $Bs | -K_X| \neq \emptyset$.

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No! There are examples where $Bs \mid -K_X \neq \emptyset$.

Example X (dimension 2)

Ex1

Let S_1 be a smooth del Pezzo surface of degree 1, i.e. $S_1 := \text{Bl}_8 \mathbb{P}^2 \xrightarrow{\pi} \mathbb{P}^2$.

• $\text{Bs} | -K_{S_1} | \neq \emptyset$: $| -K_{S_1} |$ consists of the transforms of plane cubics C passing through the blown-up points \rightsquigarrow

\exists a point $P \in S_1$ s.t. $\pi(P) \in C$ for all $C \implies \text{Bs} | -K_{S_1} | = \{P\}$.

• a general element of $| -K_{S_1} |$ is smooth.

Examples (dimension 2)

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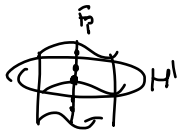
• a general element of $\underline{| -K_{S_1} |}$ is smooth.

Examples (dimension 3)

Ex2 Z Fano, $-K_Z \sim 2H$, $H^3=1$, $Z \subseteq \mathbb{P}(1,1,1,2,3)$
 sample, \uparrow sextic

Let Z be a del Pezzo 3-fold of degree 1, and let $X := \text{Bl}_\Gamma Z \xrightarrow{\pi} Z$ the blow-up along an elliptic curve $\Gamma \implies X$ is a smooth Fano 3-fold and $B_S | -K_X |$ is a non-trivial π -fibre.
 $\cong \mathbb{P}^1$

$-K_Z$ is s.p., $B_S | -\frac{1}{2}K_Z | = \{P\}$. $H \in | -\frac{1}{2}K_Z |$, $H \sim S \pm$
 quad



$$B_S | -K_X | \cap H^1 = B_S | -\frac{1}{2}K_Z | \cap H = P$$

\uparrow
 $H^1 \cong H^1$



$$\mathbb{P}^1 \subseteq B_S | -K_X |$$

\uparrow
 (\cong)

Examples (dimension 3)

Ex3

Let $X = S_1 \times \mathbb{P}^1 \implies X$ is a smooth Fano 3-fold, $B_S | -K_X| = \{P\} \times \mathbb{P}^1$.

Fact:

$$X = Y \times T. \quad -K_X = \pi_Y^*(-K_T) + \pi_T^*(-K_T)$$

$$B_S | -K_X| = B_S | -K_Y| \times T \cup Y \times B_S | -K_T|$$

• [Shokurov '80] If X is a smooth Fano 3-fold s.t. $B_S | -K_X| \neq \emptyset$, then $B_S | -K_X| \cong \mathbb{P}^1$ and a general element of $| -K_X|$ is smooth. //

• **Ex2, Ex3** are the only examples and $\text{codim}(B_S | -K_X|) = 2$.

Some examples in dimension 4

Ex4 [Casagrande, Druel '15]

- Z del Pezzo 3-fold of degree 1, $(a, d) = (0, 1), (1, 2)$
- $D \in |\mathcal{O}_Z(d)|$ smooth
- $Y = \mathbb{P}(\mathcal{O}_Z \oplus \mathcal{O}_Z(a))$, G a section with $\mathcal{N}_{G/Y} \cong \mathcal{O}_Z(a)$
- $\sigma: X \rightarrow Y$ blow-up along $G \cap \pi^{-1}(D) \rightsquigarrow E = \text{Exc}(\sigma)$

$$X \xrightarrow{\sigma} Y \xrightarrow{\pi} Z$$

Then, X is a smooth Fano 4-fold.

[S. '23]:

- $\dim(\text{Bs } |-K_X|) = 0$ and $\text{Bs } |-K_X|$ is either 1 or 2 points
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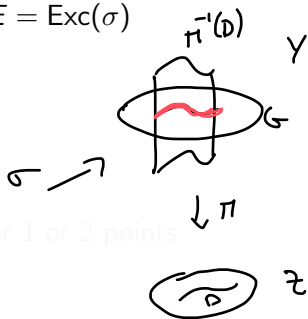
$$h_Z = 1, \quad \text{Pic}(Z) \simeq \mathcal{O}_Z(1) \cong \mathbb{Z}$$

- Z del Pezzo 3-fold of degree 1, $(a, d) = (0, 1), (1, 2)$
- $D \in |\mathcal{O}_Z(d)|$ smooth $\mathcal{O}_Z(d) = \mathcal{O}_Z(1)^{\otimes d}$
- $Y = \mathbb{P}_Z(\mathcal{O}_Z \oplus \mathcal{O}_Z(a))$, G a section with $\mathcal{N}_{G/Y} \cong \mathcal{O}_Z(a)$
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Ex4 [Casagrande, Druel '15]

$$\{ \rho \} = \mathbb{B}^3 \mid -\frac{1}{2} K_Z$$

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 $\uparrow \quad \uparrow$
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Some examples in dimension 4

Ex5 [Casagrande, Codogni, Fanelli '19]

Let $X' := \text{Bl}_8 \mathbb{P}^4$. Its Fano model X is the moduli space $M_{S_1, -K_{S_1}}$ of semistable torsion-free sheaves of rank 2, $c_1 = -K_{S_1}$ and $c_2 = 2$:

\exists a SQM $X \dashrightarrow X'$ s.t. X is a smooth Fano 4-fold.

- [Xie '22] $Bs | -K_X| \cong \mathbb{P}^1$ and a general element of $| -K_X|$ is smooth.

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↑

sequence of flips

- [Xie '22] **Bs** $| -K_X| \cong \mathbb{P}^1$ and a general element of $| -K_X|$ is smooth.

General results

Strong Bertini's theorem [Diaz, Harbater '91]

Let X be a smooth proj. var. and let \mathfrak{d} be a linear system. If $\mathbf{Bs}|\mathfrak{d}|$ is smooth and $\dim(\mathbf{Bs}|\mathfrak{d}|) < \frac{1}{2} \dim(X)$, then a general element in \mathfrak{d} is smooth.

X Fano 4-fold. If $\mathbf{Bs}|-K_X|$ is smooth of $\dim \leq 1$
 $\Leftrightarrow Y \in |-K_X|$ is smooth general

General results

[Kawamata '00; Höring, Voisin '11; Heuberger '15]

Let X be a smooth Fano 4-fold. Then:

- $h^0(X, -K_X) \geq 2$;
- a general $Y \in |-K_X|$ is a normal prime divisor with at most terminal Gorenstein singularities.

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- A general $Y \in |-K_X|$ is irreducible
+ $h^0(-K_X) \geq 2 \Rightarrow \underline{\dim \text{Bs}|-K_X| \leq 2}$
- Y is \mathbb{Q} -factorial iff Y is factorial
- When looking at known examples, it's not known if \exists X Fano 4-fold s.t. $h^0(-K_X) = 2$.

General results

What about Fano manifolds X s.t. $\dim(Bs | -K_X|) \geq \frac{1}{2} \dim(X)$?

Lemma 1

Let X be a Fano manifold, and let $\underline{B} \subseteq Bs | -K_X|$ of dimension at least $\frac{1}{2} \dim(X)$. If the conormal bundle $\underline{N}_{\underline{B}/X}^*$ is nef, then every $Y \in |-K_X|$ has at least one singular point along \underline{B} .

$$\bullet \quad H^0(X, -K_X) \simeq H^0(X, \mathcal{I}_B \otimes -K_X) \xrightarrow{\alpha} H^0(B, \underbrace{\mathcal{I}_B / \mathcal{I}_B^2}_{N_{B/X}^*} \otimes -K_X)$$

$Y \xrightarrow{\psi} S^k$
 Y is smooth along B iff $\alpha(s)$ is nowhere vanishing
iff $\text{Cod}(N_{B/X}^* \otimes -K_X) = 0$
 $d = \text{codim}_X B \leq \dim B$ and $N_{B/X}^* \otimes -K_X$ ample $\Rightarrow \neq$

More examples in dimension 4

Ex6



Let $X := S_1 \times S$, S any smooth del Pezzo surface.

- $B = \{P\} \times S \subseteq \text{Bs} | -K_X |$ and $\mathcal{N}_{B/X}^* \cong \mathcal{O}_B^{\oplus 2} \rightsquigarrow$ Lemma 1 applies.

$$B = \pi^{-1}(P) \uparrow$$

$$S_1 \times S_1 \quad \text{Bs} | -K_X | = \{P\} \times S \cup S \times \{P\}$$

γ is def def $\{P\} \times S$



More examples in dimension 4

Ex7

Let Z' be **Ex2**: $Z' \xrightarrow{\pi} Z$ is the blow-up of a del Pezzo 3-fold Z of degree 1 along an elliptic curve Γ . Let $X := \underbrace{Z' \times \mathbb{P}^1}_{\downarrow \pi}$, $C := \text{Bs} | -K_{Z'} | \cong \mathbb{P}^1$ and $B := \text{Bs} | -K_X |$.

- $\underbrace{B = C \times \mathbb{P}^1}_{\text{wavy line}}$, $\underbrace{\mathcal{N}_{C/Z'}^* \cong \mathcal{O}_C \oplus \mathcal{O}_C(1)}_{\text{wavy line}} \rightsquigarrow \mathcal{N}_{B/X}^*$ is nef and Lemma 1 applies.

$$\begin{array}{c} \parallel \\ \psi^* \mathcal{N}_{C/Z'}^* \end{array}$$

More examples in dimension 4

Ex8 (Generalization of Ex2)

For $n \geq 4$, let M be a general sextic hyp. in $\mathbb{P}(1^n, 2, 3) \rightsquigarrow M$ is a del Pezzo n -fold of degree 1, i.e. $-K_X \sim (n-1)A$ with A ample.

- $|A|$ has a unique base point P ; let Γ be the complete intersection of $n-1$ general element in $|A| \rightsquigarrow \Gamma$ is a smooth elliptic curve.
- Let $X := \text{Bl}_\Gamma M \rightarrow M$ be the blow-up along $\Gamma \implies X$ is smooth Fano and $\text{Bs}|-K_X|$ contains a surface $B \cong \mathbb{P}^{n-2}$ s.t. $\mathcal{N}_{B/X}^* \cong \mathcal{O}_B \oplus \mathcal{O}_B(1) \rightsquigarrow$ Lemma 1 applies.

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$$\Gamma = D_1 \cap \dots \cap D_{n-1}, \quad D_i \in |A| \quad \cdot \quad \mathbb{V} = \langle D_1, \dots, D_{n-1} \rangle \mid \mathbb{B}_s(\mathbb{V}) = \Gamma$$

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$$\begin{array}{ccc} X & \xrightarrow{\gamma} & \mathbb{P}^{n-2} \\ \pi \downarrow & & \swarrow -K_X \\ M \ni P & & B = \pi^{-1}(P) \end{array}$$

Motivation

- **Ex6**, **Ex7** and **Ex8** are the only known examples of Fano 4-folds s.t. $\dim Bs | -K_X| = 2$;
- in these examples, a general $Y \in | -K_X|$ is not \mathbb{Q} -factorial!

Motivation

- **Ex6**, **Ex7** and **Ex8** are the only known examples of Fano 4-folds s.t. $\dim B_S | -K_X| = 2$;
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$B \subset B_S | -K_X|$ B is smooth, while Y is sing deep B

If Y is \mathbb{Q} -factorial (fact.) ^{thus}, B would be Cartier ~~*~~

Main result

Theorem [Höring, S. '23]

Let X be a smooth Fano 4-fold such that $h^0(X, -K_X) \geq 3$. Assume that $B_s \in |-K_X|$ is an irreducible normal surface B . Then, a general element $Y \in |-K_X|$ is not \mathbb{Q} -factorial, in particular it is singular.

Remarks

- The theorem covers all known examples.
- Does this hold assuming that $B_s \in |-K_X|$ contains a surface?
- Are there smooth Fano 4-folds s.t. $h^0(X, -K_X) = 2$?

In general, B is a semi-log canonical (slc) surface:

- Can we remove the hypothesis of normality?

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Sketch of proof

Setup.

Let $Y \in |-K_X|$ general $\rightsquigarrow Y$ is an irreducible normal CY 3-fold. The restriction map

$$H^0(X, -K_X) \twoheadrightarrow H^0(Y, -K_X) \rightarrow H^1(\mathcal{O}_X) = 0$$

is surjective. Thus, $Bs|-K_{X|Y}| = Bs|-K_X| = B$.

X Few,

Moreover, $h^0(Y, -K_X) = h^0(X, -K_X) - 1 \geq 2 \rightsquigarrow$ if $|M|$ is the mobile part of $|-K_{X|Y}|$, then

$$-K_{X|Y} \sim M + B.$$

- We argue by contradiction and assume that Y is \mathbb{Q} -factorial.

Sketch of proof

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Sketch of proof

$$\dim X = 4$$

- Y is factorial $\rightsquigarrow M$ and B are Cartier divisor of Y .
- By Lefschetz hyperplane theorem, $\exists! M_X, B_X \in \text{Pic}(X)$ such that

$$M \sim M_{X|Y}, \quad B \sim B_{X|Y}.$$

? Are M_X, B_X effective?

- [Kollár '91]: M_X (resp. B_X) is nef $\iff M$ (resp. B) is nef.

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This is equivalent to

$$\begin{array}{ccc} \overline{NE(Y)} & \hookrightarrow & \overline{NE(X)} \\ \uparrow & \cong & \\ & & \end{array}$$

Sketch of proof: not nef case

Assume M_X not nef: use the embedding $Y \subset X$ and look at M_X -negative elementary contractions.

- B_X is not nef; if B_X effective, then $h^0(X, B_X) = 1$
- $-K_X + M_X$ is nef & big \rightsquigarrow control cohom. of M_X
- M_X is effective and mobile
- \exists a smooth rational curve ℓ s.t. $M \cdot \ell < 0 \implies \ell \subset B$
- technical result on irregular surfaces $\rightsquigarrow M|_B$ does not contain rational curves. **Contradiction!**

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Sketch of proof: nef case

Assume M_X nef: then M_X is semiample and \exists a morphism with connected fibres

$$\psi: \mathbb{A}^1 \times X \rightarrow T$$

s.t. T is normal and $M_X \sim \psi^* M_T$ for an ample Cartier divisor M_T on T .

- case by case study based on $\dim T$:
 - $\dim T > 1$
 - $\dim T < 3$
 - $\dim T \neq 2$ (most difficult part)
 - **Final contradiction!**

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Thank you!

